

Express Mail No.: EM 061 023 274 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: SRIVASTAVA et al.

Application No.: 09/393,652

Group Art Unit: 1643

Filed: September 10, 1999

Examiner: To be assigned

For: METHODS AND COMPOSITIONS FOR THE
TREATMENT AND PREVENTION OF GRAFT
REJECTION USING HEAT SHOCK PROTEINS

Attorney Docket No.: 8449-025-999

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts Of Application – Filing Date Granted, dated September 29, 1999 (“Notice”), submitted herewith are two (2) counterpart Declaration and Power of Attorney documents executed by Pramod K. Srivastava on November 23, 1999 and Rajiv Y. Chandawarkar on November 5, 1999. A copy of the Notice is also enclosed.

Also enclosed are Verified Statements (Declarations) Claiming Small Entity Status, under 37 C.F.R. § 1.9(f) and 1.27(d) by FORDHAM UNIVERSITY as a nonprofit organization, and under 37 C.F.R. § 1.9(f) and 1.27(c) by ANTIGENICS LLC, as a small business concern. It is respectfully requested that small entity status be established for the application. Accordingly, the fee for submission of this Response is believed to be \$65.00. Please charge all required fees to Pennie & Edmonds Deposit Account No. 16-1150. A copy of this sheet is enclosed.

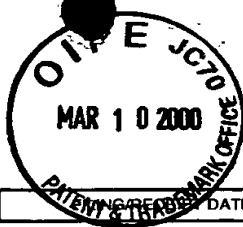
Also enclosed is a Petition for Extension of Time for four (4) months extending the time for response from November 29, 1999 to and including March 29, 2000. A copy of this sheet is enclosed.

Respectfully submitted,

Date: March 10, 2000

Adriane M. Antler 32.605
Adriane M. Antler (Reg. No.)
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**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/393,652

09/10/99

SIRVASTAVA

P

8449-025-999

0212/0929

PENNIE & EDMONDS LLP
1667 K STREET N W
WASHINGTON DC 20006



NOT ASSIGNED

DATE MAILED: 1643

09/29/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

JLK

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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